

Today's outline - November 06, 2024





- Photoelectric absorption

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- Photoelectric absorption
- EXAFS theory

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- Reversibility in tin-based anode materials

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Reading Assignment: Chapter 8.1–8.3



- Photoelectric absorption
- EXAFS theory
- Reversibility in tin-based anode materials

Reading Assignment: Chapter 8.1–8.3

Homework Assignment #06:

Chapter 6: 1,6,7,8,9

due Friday, November 15, 2024

Homework Assignment #07:

Chapter 7: 2,3,9,10,11

due Monday, November 25, 2024

Dirac bra-ket notation



Photoelectric absorption and inelastic scattering are quantum phenomena which cannot be treated semi-classically as scattering can.

Dirac bra-ket notation



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Paul Dirac developed a formalism for quantum mechanics which is commonly used. One part of this formalism is a compact notation which simplifies writing expectation value integrals. We will use this “bra-ket” notation when discussing photoabsorption.

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bra-ket

bra

$$\psi^*(x)$$

$$\langle \psi |$$

complex conjugate is implicit

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ket	$\psi(x)$	$ \psi\rangle$	

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expectation value	$\int \psi^* Q\psi dx$	$\langle\psi Q \psi\rangle$	operator is applied to the right

Calculation of σ_a



From first-order perturbation theory, the absorption cross section is given by

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$$\sigma_a = \frac{2\pi}{\hbar c} \frac{V^2}{4\pi^3} \int |M_{if}|^2 \delta(\mathcal{E}_f - \mathcal{E}_i) q^2 \sin \theta dq d\theta d\varphi$$

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The interaction Hamiltonian is expressed in terms of the electromagnetic vector potential

$$\mathcal{H}_I = \frac{e\vec{p} \cdot \vec{A}}{m} + \frac{e^2 A^2}{2m}$$

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$$\vec{A} = \hat{\epsilon} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} \left[a_k e^{i\vec{k} \cdot \vec{r}} + a_k^\dagger e^{-i\vec{k} \cdot \vec{r}} \right]$$

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The first term gives **absorption**

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The interaction Hamiltonian is expressed in terms of the electromagnetic vector potential

$$\mathcal{H}_I = \frac{e\vec{p} \cdot \vec{A}}{m} + \frac{e^2 A^2}{2m}$$

The first term gives **absorption** while the second produces **Thomson scattering** so we take only the first into consideration now.

$$\vec{A} = \hat{\epsilon} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} \left[a_k e^{i\vec{k} \cdot \vec{r}} + a_k^\dagger e^{-i\vec{k} \cdot \vec{r}} \right]$$

Free electron approximation



In order to evaluate the M_{if} matrix element we define the initial and final states

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the initial state has a photon and a K electron
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Thus

$$M_{if} = \frac{e}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} \left[{}_e\langle 1|_\gamma \langle 0| (\vec{p} \cdot \hat{\epsilon}) a e^{i\vec{k} \cdot \vec{r}} + (\vec{p} \cdot \hat{\epsilon}) a^\dagger e^{-i\vec{k} \cdot \vec{r}} |1\rangle_\gamma |0\rangle_e \right]$$

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The calculation is simplified if the interaction Hamiltonian is applied to the left since the final state has only a free electron and no photon

Free electron approximation



The free electron state is an eigenfunction of the electron momentum operator

Free electron approximation



$${}_e\langle 1 | \vec{p} = (\hbar \vec{q}) {}_e\langle 1 |$$

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The annihilation operator applied to the left creates a photon

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$$e\langle 1|\vec{p} = (\hbar\vec{q})_e\langle 1|$$

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$$M_{if} = \frac{e}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} \left[\hbar(\vec{q} \cdot \hat{\epsilon})_e \langle 1|\gamma\langle 1|e^{i\vec{k} \cdot \vec{r}}|1\rangle_\gamma|0\rangle_e + 0 \right]$$

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$$\begin{aligned} M_{if} &= \frac{e}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} \left[\hbar(\vec{q} \cdot \hat{\epsilon}) {}_e\langle 1 | {}_\gamma\langle 1 | e^{i\vec{k} \cdot \vec{r}} | 1 \rangle_\gamma | 0 \rangle_e + 0 \right] \\ &= \frac{e\hbar}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} (\vec{q} \cdot \hat{\epsilon}) {}_e\langle 1 | e^{i\vec{k} \cdot \vec{r}} | 0 \rangle_e \end{aligned}$$

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Photoelectron integral



$$M_{if} = \frac{e\hbar}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} (\vec{q} \cdot \hat{\epsilon}) \int \psi_f^* e^{i\vec{k} \cdot \vec{r}} \psi_i d\vec{r}$$

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The initial electron wavefunction is simply that of a **1s atomic state**



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The initial electron wavefunction is simply that of a **1s atomic state** while the final state is approximated as a **plane wave**



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The integral thus becomes

which is the Fourier transform of the initial state 1s electron wave function

Photoelectron cross-section



the overall matrix element squared for a particular photoelectron final direction (φ, θ) is

Photoelectron cross-section



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$$|M_{if}|^2 = \left(\frac{e\hbar}{m}\right)^2 \frac{\hbar}{2\epsilon_0 V^2 \omega} (q^2 \sin^2 \theta \cos^2 \varphi) \phi^2(\vec{Q})$$

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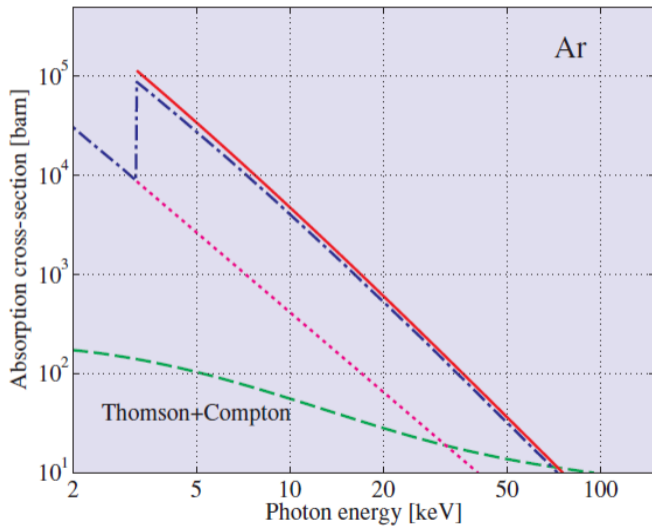
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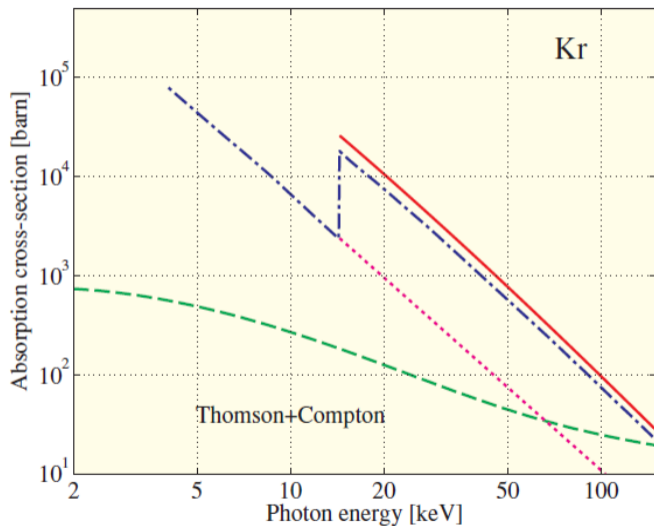
where the integral I_3 is given by

$$I_3 = \int \phi^2(\vec{Q}) q^2 \sin^2 \theta \cos^2 \varphi \delta(\mathcal{E}_f - \mathcal{E}_i) q^2 \sin \theta dq d\theta d\phi$$

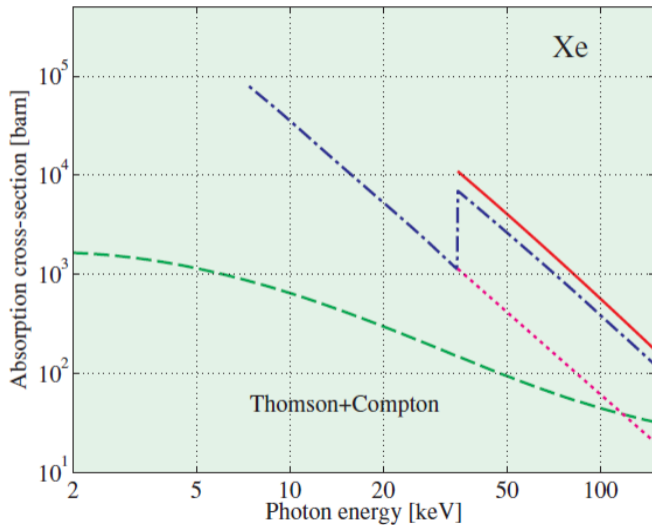
Calculated cross sections



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What is XAFS?



X-ray Absorption Fine-Structure (**XAFS**) is the modulation of the x-ray absorption coefficient at energies near and above an x-ray absorption edge. XAFS is also referred to as X-ray Absorption Spectroscopy (**XAS**) and is broken into 2 regimes:

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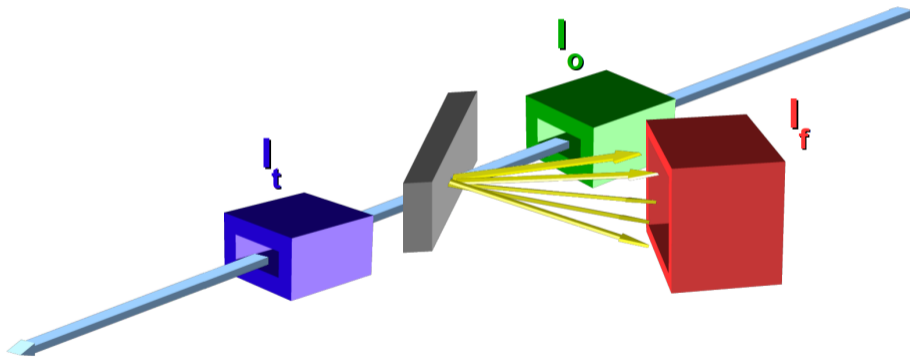
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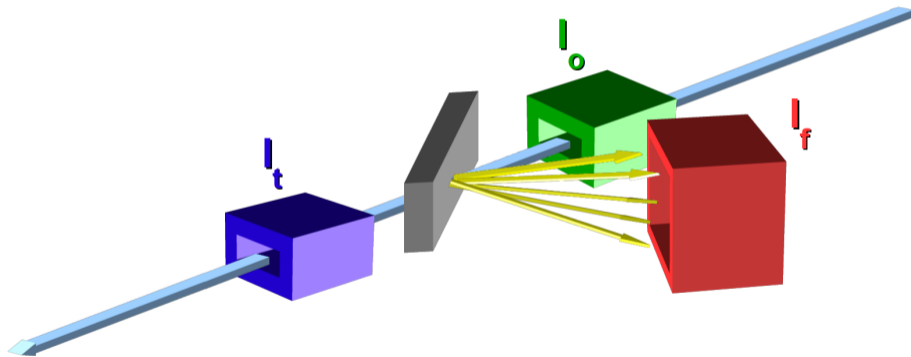
The EXAFS experiment



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I_o = incident intensity

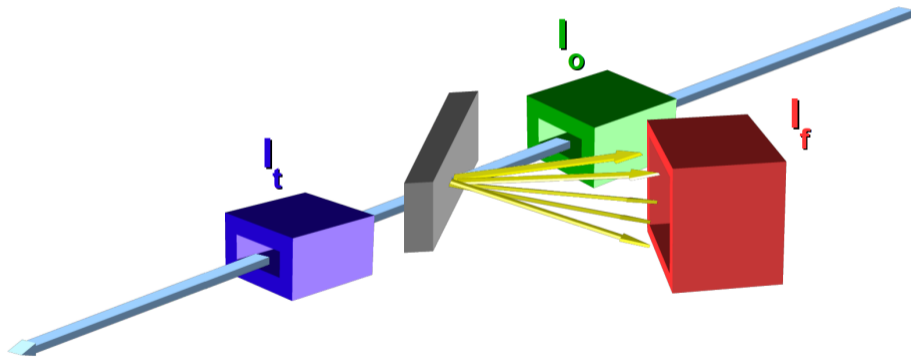


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I_o = incident intensity

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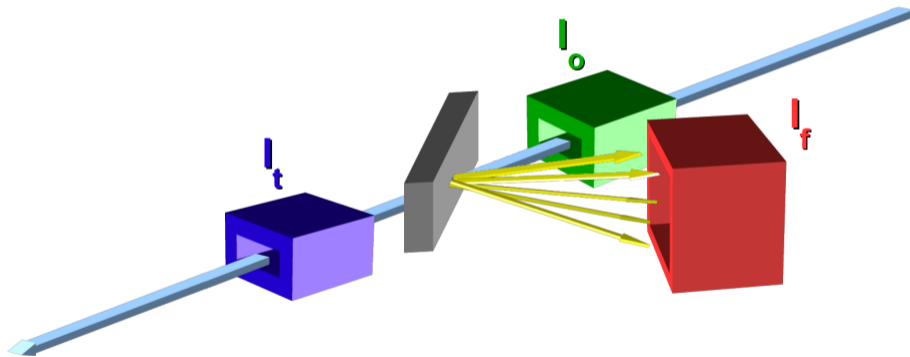
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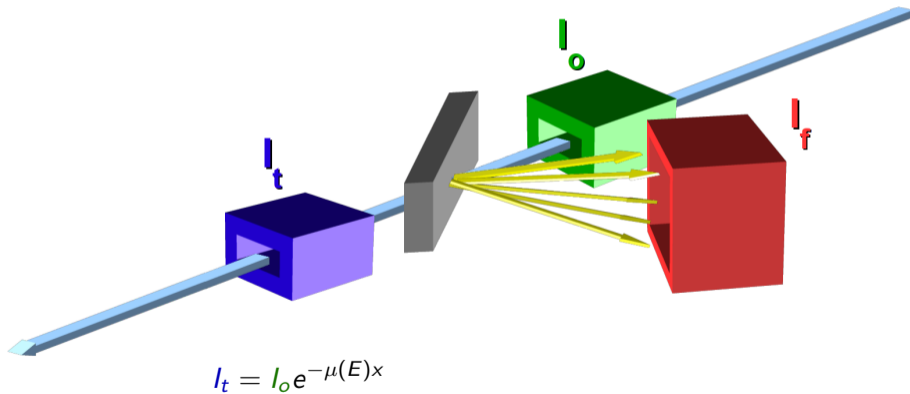
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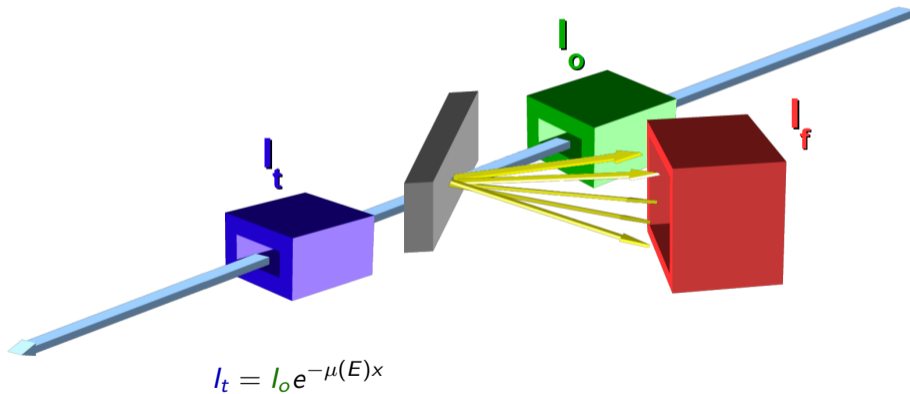
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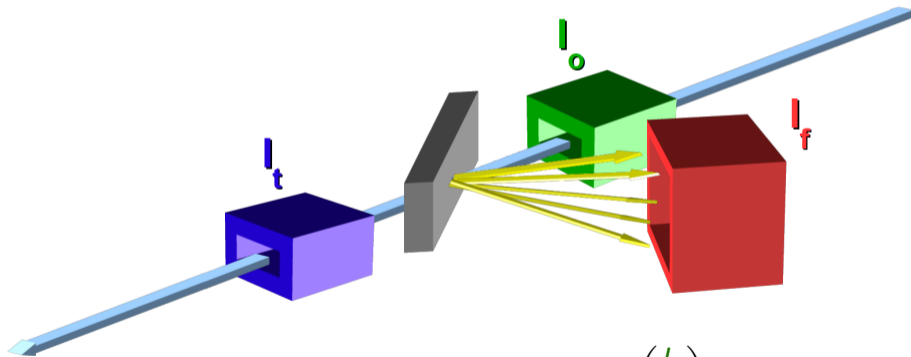
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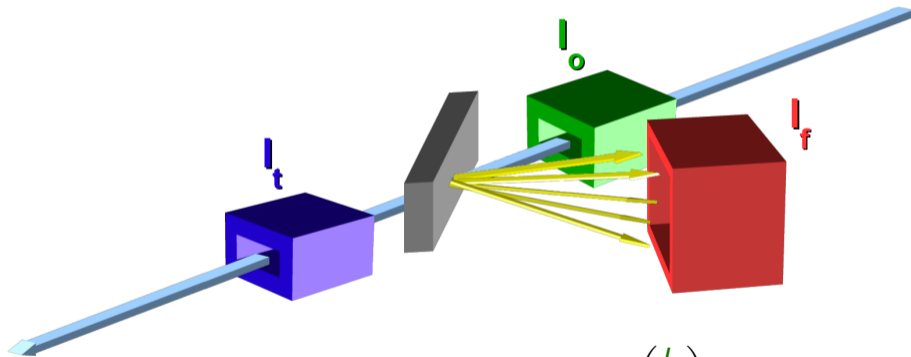
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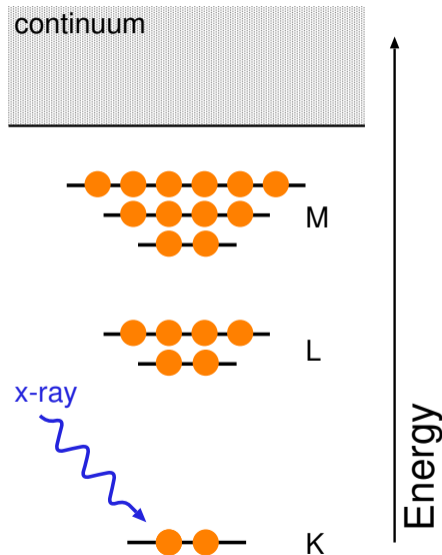
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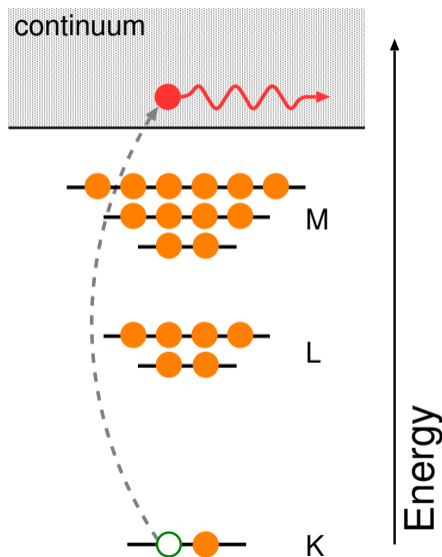


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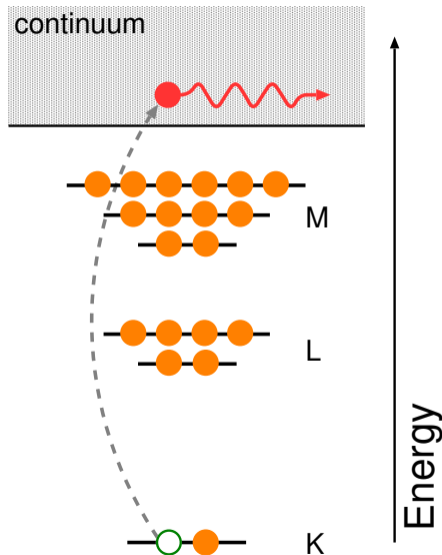
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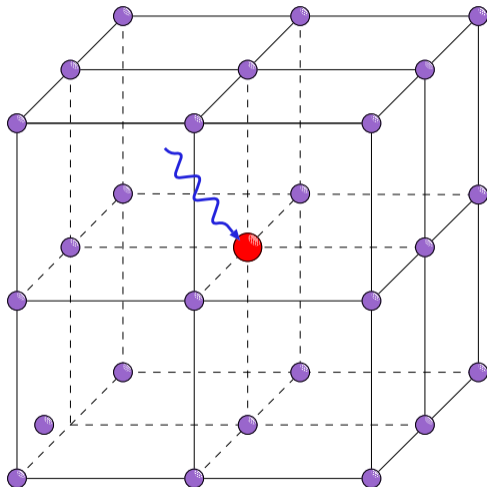
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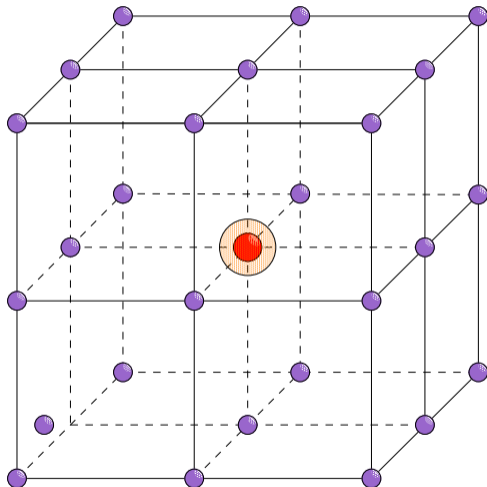
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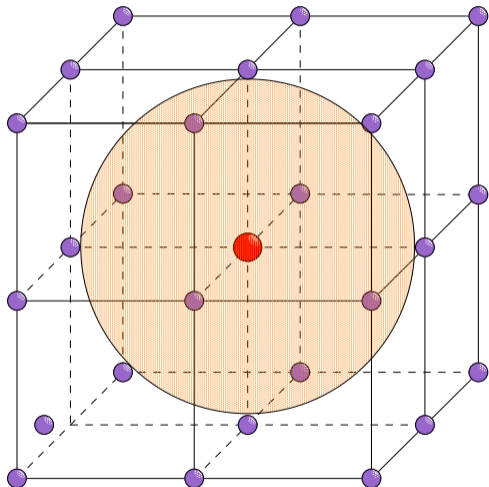
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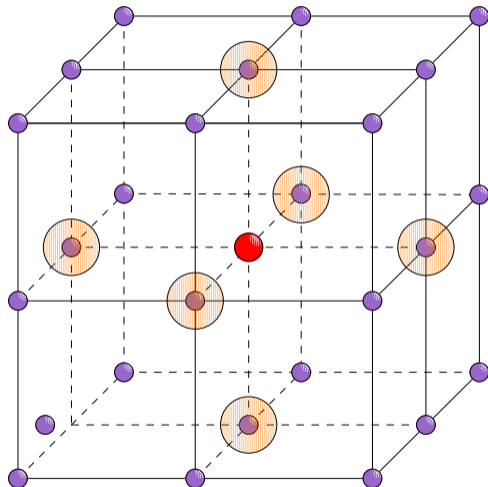
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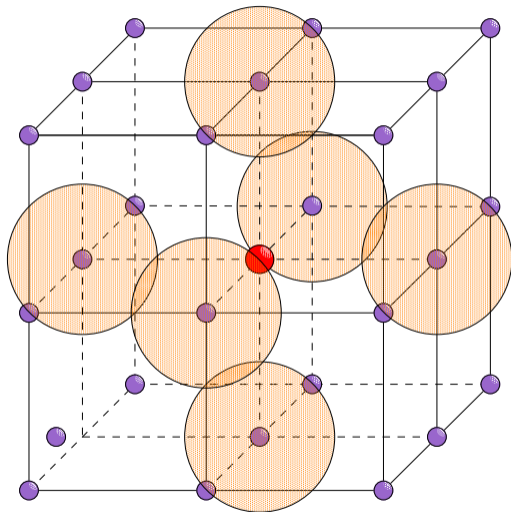
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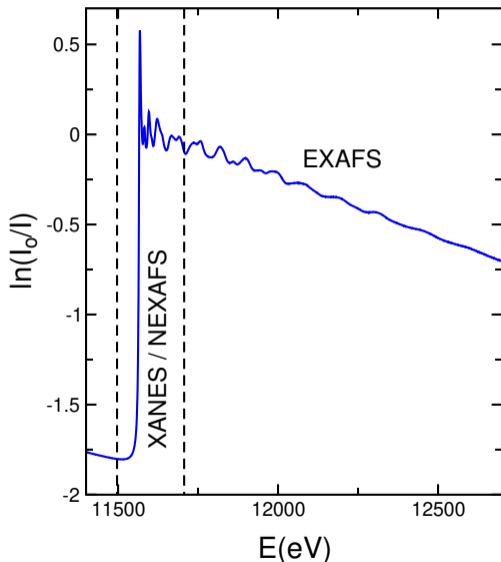
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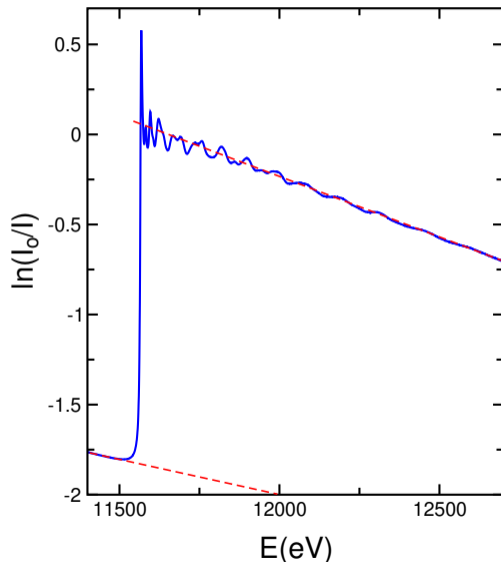
Any excess energy from the x-ray is given to an ejected **photoelectron**, which expands as a spherical wave, reaches the neighboring electron clouds, and scatters back to the core hole, creating interference patterns called XANES and EXAFS.



EXAFS data extraction



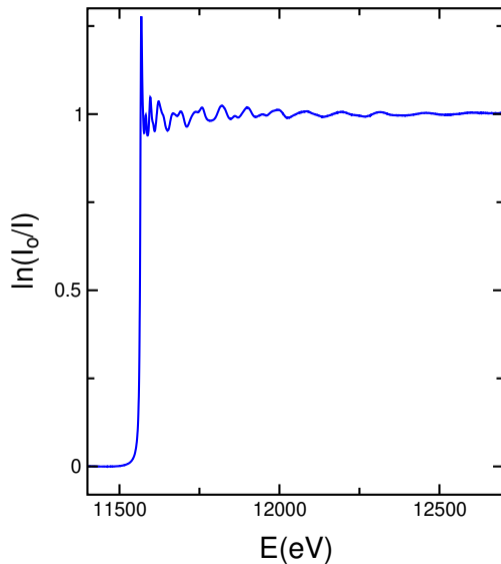
normalize by fitting **pre-edge** and **post-edge** trends



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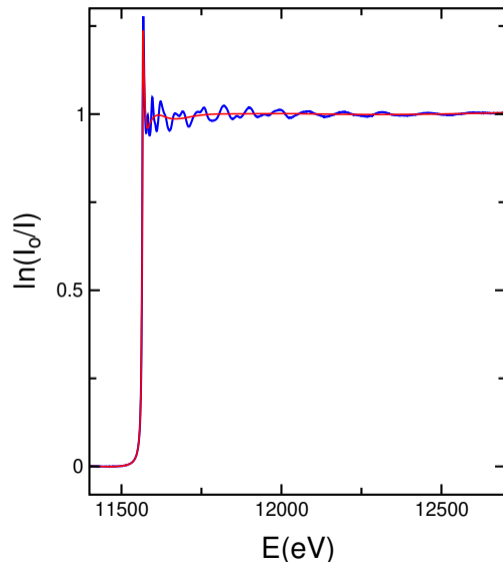


EXAFS data extraction



normalize by fitting pre-edge and post-edge trends

remove “smooth” μ_0 background



EXAFS data extraction

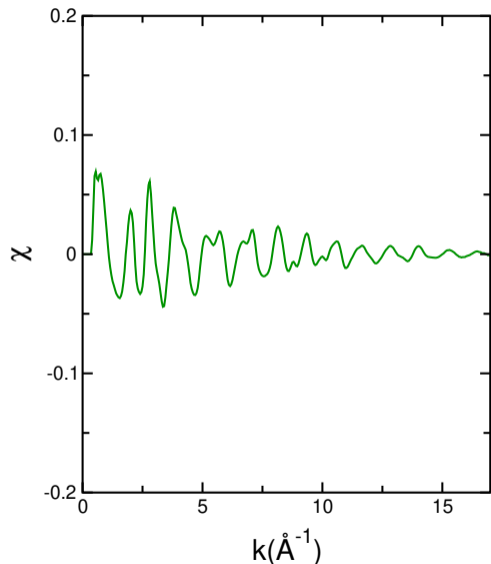


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convert to **photoelectron momentum** space

$$k = \frac{2\pi}{hc} \sqrt{\mathcal{E} - \mathcal{E}_0}$$



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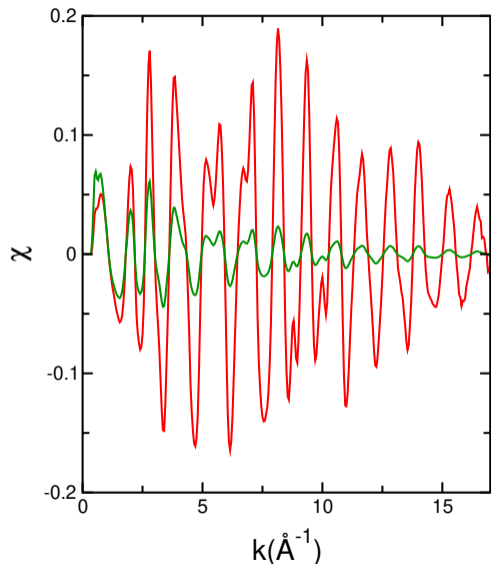
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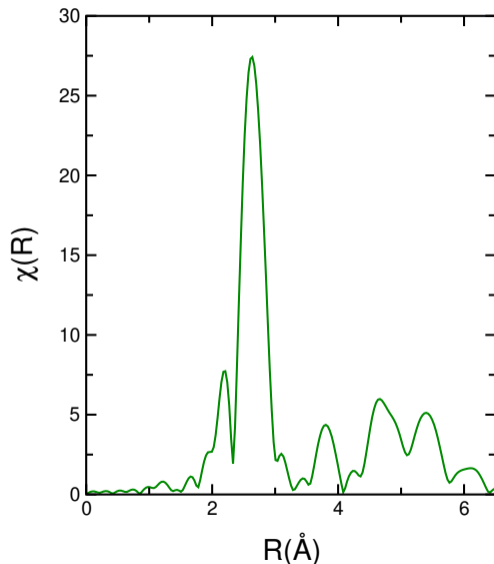
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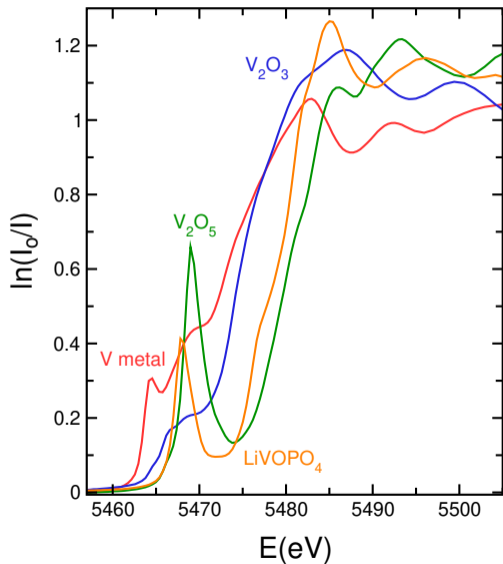
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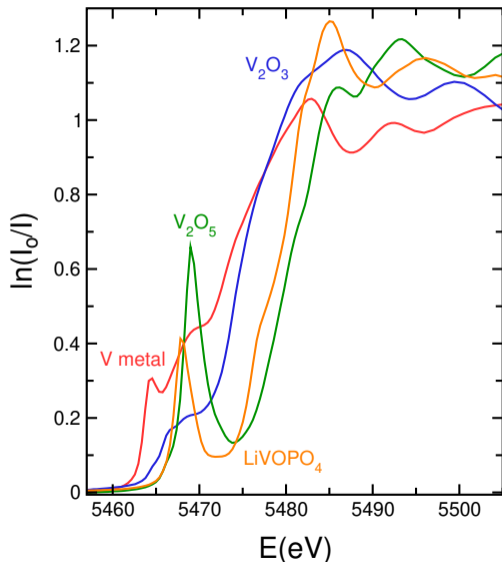
Fourier transform to get **real space EXAFS**



XANES edge shifts and pre-edge peaks

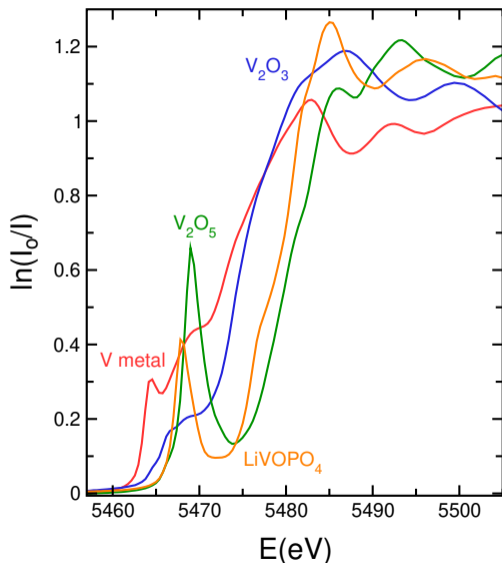


XANES edge shifts and pre-edge peaks



The shift of the edge position can be used to determine the valence state.

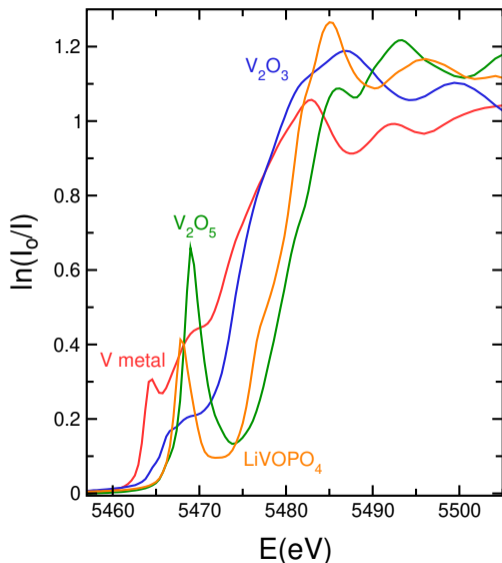
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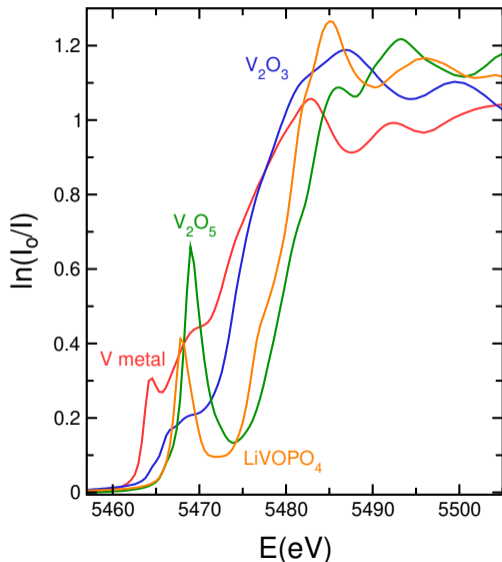


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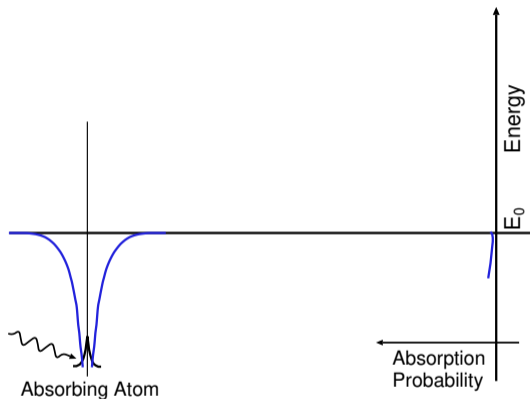
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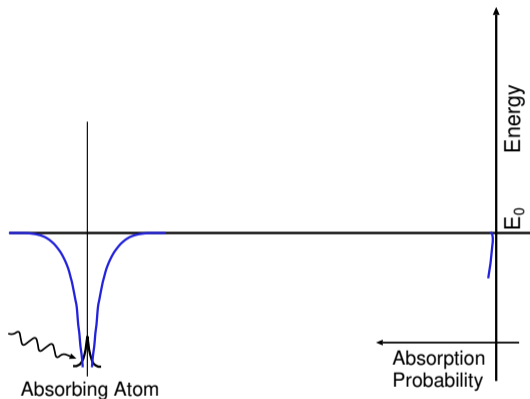
Modern codes, such as FEFF9, are able to accurately compute XANES features.

X-ray absorption by a free atom



X-ray absorption needs an available state for the photoelectron to go into

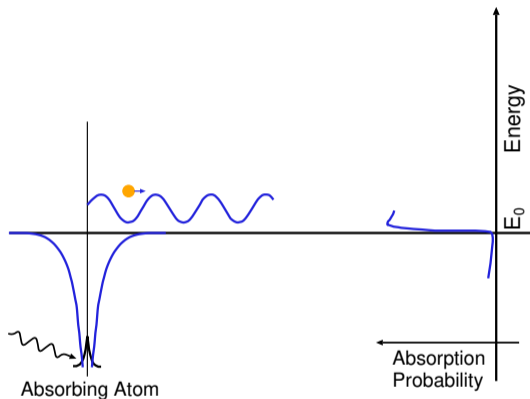
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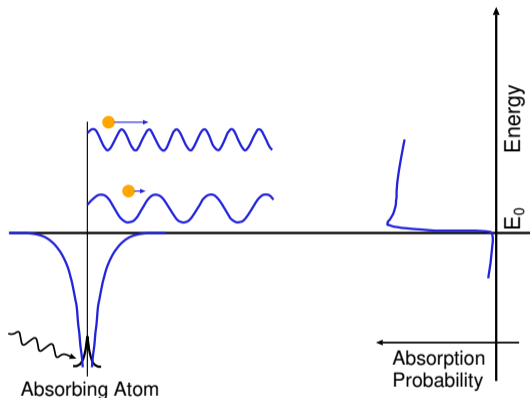


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Once the x-ray energy is large enough to promote a core-level to the continuum, there is a sharp increase in absorption.

X-ray absorption by a free atom



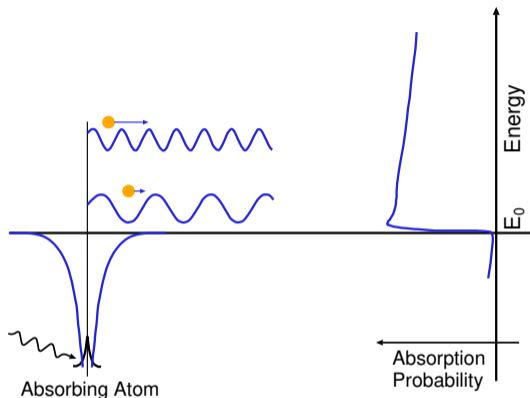
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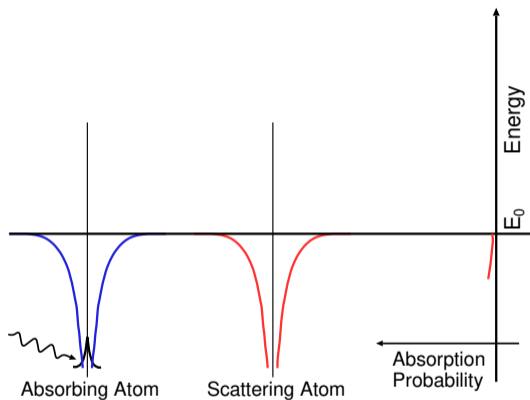
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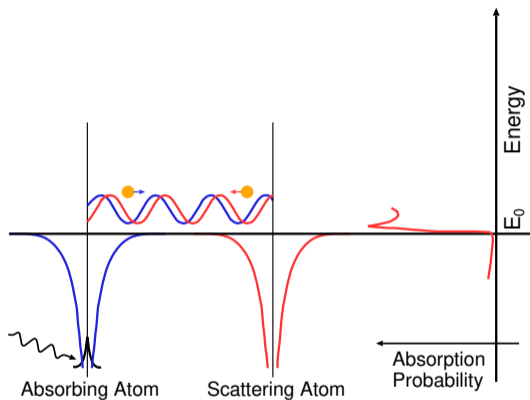
An atom absorbs an x-ray of energy E , destroying a core electron with energy E_0 and creating a photoelectron with energy $(E - E_0)$. The **core hole** is eventually filled, and a fluorescence x-ray or Auger electron is ejected from the atom.

X-ray absorption with photoelectron scattering



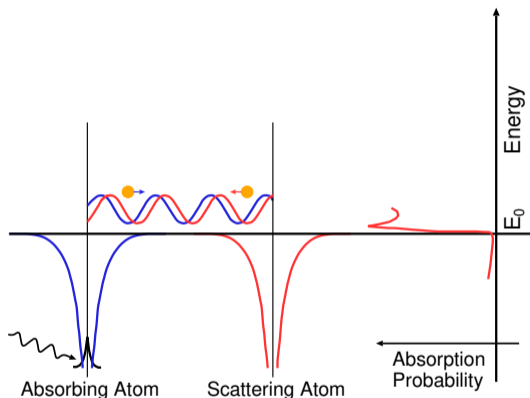
With another atom nearby, the ejected photoelectron can **scatter** from a neighboring atom and return back to the absorbing atom

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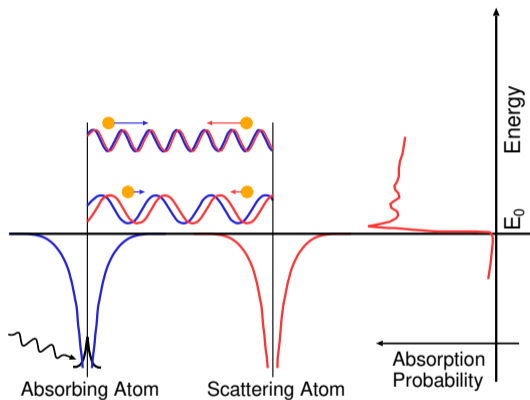
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In the XANES region $\mu(E)$ depends on the density of electron states with energy $(E - E_0)$, at the absorbing atom with the appropriate symmetry ($\Delta l = \pm 1$, $\Delta m = 0, \pm 1$)

X-ray absorption with photoelectron scattering

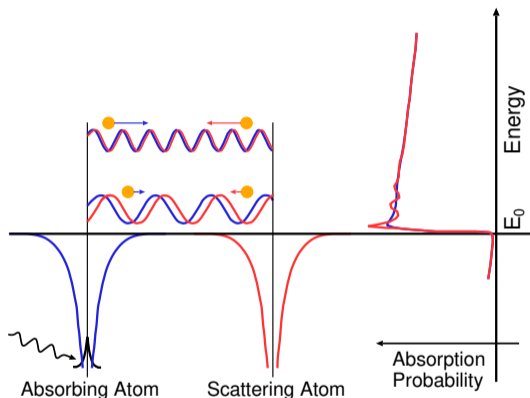


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In the XANES region $\mu(E)$ depends on the density of electron states with energy $(E - E_0)$, at the absorbing atom with the appropriate symmetry ($\Delta l = \pm 1$, $\Delta m = 0, \pm 1$)

In the EXAFS region, the backscattered photoelectron will interfere with itself

X-ray absorption with photoelectron scattering



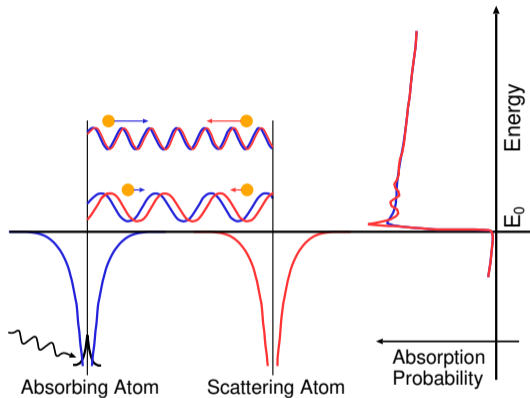
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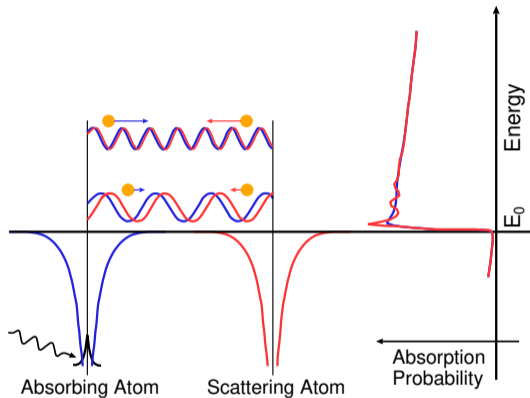
The amplitude and phase of the back-scattered photoelectron **at the absorbing atom** will vary with energy, causing the oscillations in $\mu(E)$

X-ray absorption: Fermi's golden rule



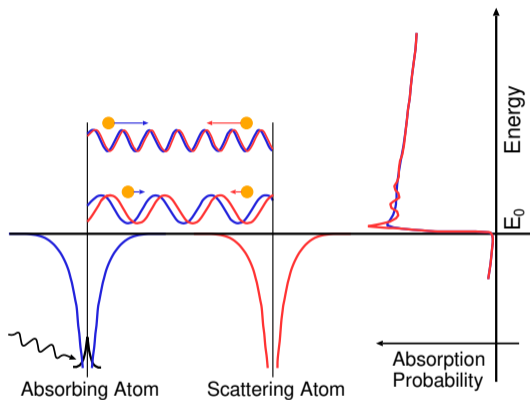
$$\mu(E) = \mu_0(E) + \Delta\mu(E)$$

X-ray absorption: Fermi's golden rule



$$\mu(E) = \mu_0(E) + \Delta\mu(E) = \mu_0(E)[1 + \chi(E)]$$

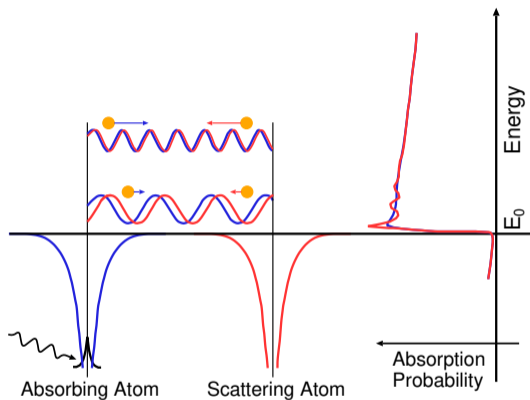
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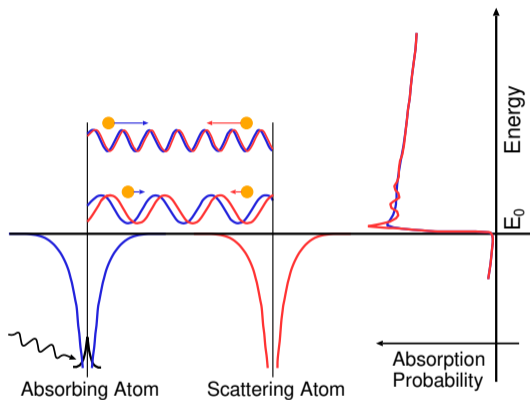
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X-ray absorption: Fermi's golden rule

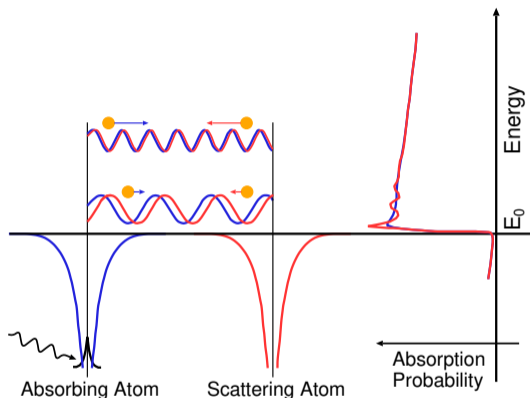


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$$\mu(E) \sim |\langle i | \mathcal{H} | f \rangle|^2$$

X-ray absorption: Fermi's golden rule



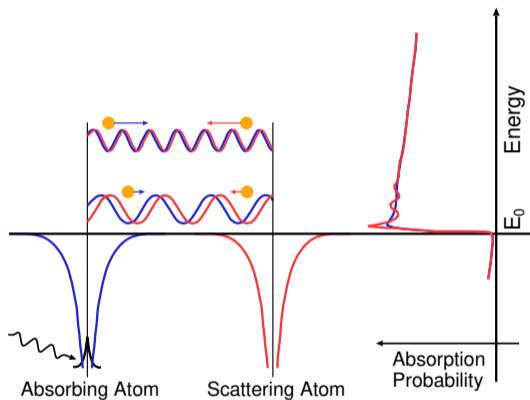
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$\langle i |$ is the **initial state** which has a core level electron and the photon. This is not altered by the neighboring atom.

X-ray absorption: Fermi's golden rule



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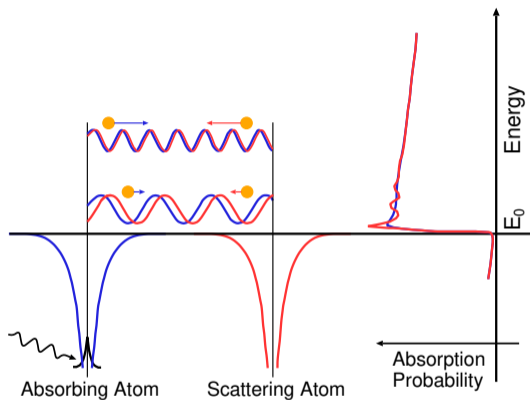
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\mathcal{H} is the **interaction**. In the dipole approximation, $\mathcal{H} = e^{ikr} \approx 1$.

X-ray absorption: Fermi's golden rule



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\mathcal{H} is the **interaction**. In the dipole approximation, $\mathcal{H} = e^{ikr} \approx 1$.

$|f\rangle$ is the **final state** which has a photoelectron, a hole in the core, and no photon. This is altered by the neighboring atom: the photoelectron scatters.